

Minnesota

Campaign Finance and Public Disclosure Board Meeting

Wednesday, April 3, 2019
10:30 A.M.
St. Croix Room
Centennial Office Building

REGULAR SESSION AGENDA

1. **Approval of Minutes** (March 13, 2019)
2. **Chair's report**
 - a. Meeting schedule
3. **Executive director report**
4. **Enforcement report**
5. **Legal report**
6. **Other business**

EXECUTIVE SESSION

Immediately following regular session

**STATE OF MINNESOTA
CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD**

.....
**March 13, 2019
Room G-31
Minnesota Judicial Center**
.....

MINUTES

The meeting was called to order by Chair Leppik.

Members present: Flynn, Haugen (by telephone), Leppik, Moilanen (by telephone), Rosen (arrived during executive director's report), Swanson (by telephone)

Others present: Sigurdson (arrived during enforcement report), Engelhardt, Olson, Pope, staff; Hartshorn, counsel

MINUTES (February 6, 2019)

After discussion, the following motion was made:

Member Flynn's motion: To approve the February 6, 2019, minutes as drafted.

Vote on motion: A roll call vote was taken. Motion passed (5 ayes, Member Rosen was absent).

CHAIR'S REPORT

A. Meeting schedule

The next Board meeting is scheduled for 10:30 a.m. on Wednesday, April 3, 2019.

EXECUTIVE DIRECTOR REPORT

Mr. Sigurdson presented members with a memorandum regarding this matter that is attached to and made a part of these minutes. Because Mr. Sigurdson was attending a legislative hearing, Ms. Engelhardt reviewed the memorandum with members. Ms. Engelhardt told members that no hearings had been scheduled on the Board's legislative recommendations. Ms. Engelhardt said that because the first committee hearing deadline was March 15th, it did not appear that the Board's proposals would move forward this year. Ms. Engelhardt also stated that staff was busy reviewing the campaign finance reports that had been filed in January.

REPORT ON LATE FEES AND CIVIL PENALTIES

Mr. Sigurdson presented members with a memorandum regarding this matter that is attached to and made a part of these minutes. Ms. Engelhardt told members that the memorandum recommended that

the Board start imposing the entire civil penalty for a late report on the first date allowed by statute instead of imposing that penalty over a ten-week period. Ms. Engelhardt said that with this change, committees still would have 20 to 40 business days to submit their reports before incurring the civil penalty. Ms. Engelhardt also stated that the recommended change would allow committees that did not file reports to be referred more quickly to the attorney general's office for action.

After discussion, the following motion was made:

Member Flynn's motion:

To adopt the following resolution:

RESOLVED, that the Board revokes its prior policy of imposing the \$1,000 civil penalty for a late report in increments of \$100 per week and instead will impose the entire \$1,000 civil penalty for a late report on the first day allowed by statute. This resolution is effective for reports due after March 13, 2019.

Vote on motion:

A roll call vote was taken. All members voted in the affirmative.

ENFORCEMENT REPORT

The enforcement report did not strictly follow the order set forth in the written report.

A. Discussion items

4. Request for second residence waiver – Kevin Reich (Red Lake County SWCD)

Mr. Olson told members that Mr. Reich had filed an annual statement of economic interest on January 25, 2019. With his statement, Mr. Reich also submitted a request under Minnesota Statutes section 10A.09, subdivision 9, to waive the requirement that he disclose the address of his second residence. Mr. Olson said that Mr. Reich stated that the waiver request was being made because the property was located along a county easement road and neighboring property owners had experienced trespassing, hunting without permission, and vandalism. Mr. Reich said that he did not want to encourage any of these things toward his property or his neighbors' properties.

After discussion, no motion was made on this request.

1. Request to suspend efforts to obtain economic interest statement and accrued late fees and civil penalties – Al Brazier (Two Rivers WD)

Mr. Olson told members that during the annual recertification, staff had discovered that Mr. Brazier had health issues that prevented him from completing his termination/annual statement of economic interest. Mr. Brazier resigned from his position during the reporting year. Mr. Olson said that staff had not had any contact with any one authorized to file a statement on Mr. Brazier's behalf. Staff therefore was asking the Board to suspend its efforts to obtain a statement from this official and to waive any late fees and civil penalties that may have accrued.

2. Request to accept economic interest statement and to waive late fee – Joe Connolly (Le Sueur County Board of Commissioners)

Mr. Olson told members that during the annual recertification, staff also had discovered that Mr. Connolly had health issues that prevented him from completing his termination/annual statement of economic interest. Mr. Connolly had not run for re-election to his county commissioner seat. Mr. Olson said that although staff had planned to ask the Board to suspend its efforts to obtain a statement from Mr. Connolly, Mr. Connolly's wife had gone ahead and submitted a statement on his behalf before staff could make the request. Mr. Olson said that it was not clear to staff whether the statute allowed Mrs. Connolly to submit a statement for Mr. Connolly. In addition, the statement filed by Mrs. Connolly was one day late. Mr. Olson said that staff therefore was asking the Board to accept the statement filed on Mr. Connolly's behalf and to waive the \$5 late fee.

3. Request to suspend efforts to obtain economic interest statement and accrued late fees and civil penalties – John Magedanz (Sauk River WD)

Mr. Olson told members that during last year's annual recertification, staff had notified Mr. Magedanz that he was required to file a statement of economic interest. Mr. Olson said that although Mr. Magedanz strongly objected to the required disclosure, he had filed a statement on February 20, 2018, and had indicated that he would be resigning from the watershed district board at its next meeting, which was scheduled for March. Mr. Olson said that the minutes for the watershed district's March 2018 meeting confirmed that Mr. Magedanz submitted his resignation, effective immediately, at that meeting. Mr. Olson said that staff therefore was asking the Board to suspend its efforts to obtain a statement from this official and to waive any late fees and civil penalties that may have accrued.

5. Request for retroactive termination of lobbyist David Johnson (2929)

Mr. Olson told members that Mr. Johnson's principal association, the Karen Organization of Minnesota, had notified Board staff that Mr. Johnson had not been employed by the association since 2015 and had not responded to attempts to contact him. Mr. Olson said that Mr. Johnson had come to the Board's office on March 5, 2019, and had filed a termination statement requesting that the termination be made retroactive to December 31, 2015.

6. Request for retroactive administrative termination of lobbyist Kara Genia (1858)

Mr. Olson said that Ms. Genia's principal association, the Karen Organization of Minnesota, had notified Board staff that Ms. Genia had not been employed by the association since the end of 2017 and had not responded to attempts to contact her. Mr. Olson said that the association was asking the Board to retroactively terminate Ms. Genia's registration effective December 31, 2017.

After discussion, the following motion was made:

Member Flynn's motion: To approve discussion items 1, 2, 3, 5, and 6.

Vote on motion: A roll call vote was taken. All members voted in the affirmative.

7. Balance adjustment request - Minnesota College Republicans Political Fund (30664)

Mr. Olson told members that this balance adjustment request was related to the first waiver request on the report. Mr. Olson said that after the 2016 general election, the treasurer of the Minnesota College Republicans Political Fund had resigned and a new treasurer had not been elected until April 1, 2017. The new treasurer was not given any records except for bank statements, which did not clearly distinguish between the receipts and expenditures of the political fund and those of its supporting association. Mr. Olson said that the new treasurer had completed the fund's 2016 year-end report, which showed an ending cash balance of \$540.60, but that the treasurer believed that the fund's actual cash balance as of the end of 2016 was \$137.96, a difference of \$402.64. The treasurer has been unable to account for the variance and thus was asking the Board to adjust the fund's 2016 ending cash balance from \$540.60 to \$137.96. Mr. Olson said that the fund had submitted a termination report that was pending on the Board's approval of the requested balance adjustment.

After discussion, the following motion was made:

Member Rosen's motion: To approve the balance adjustment as requested.

Vote on motion: A roll call vote was taken. All members voted in the affirmative.

C. Waiver requests

<u>Name of Candidate or Committee</u>	<u>Late Fee & Civil Penalty Amount</u>	<u>Reason for Fine</u>	<u>Factors for waiver</u>	<u>Board Member's Motion</u>	<u>Motion</u>	<u>Vote on Motion</u>
Minn College Republicans Political Fund (30664)	\$2,000 LFFs \$2,000 CPs	2017 year-end 2018 year-end	Treasurer elected 4/1/17 was told that fund's registration had been terminated. Upon realizing that year-end reports were not filed for 2016 or 2017 and that fund's registration was never terminated, treasurer worked with Board staff to file accurate reports, which was complicated by lack of records and confusion as to which receipts and expenditures were attributable to fund and which were attributable to supporting association. Termination report pending on Board's approval of balance adjustment in item 7.	Member Flynn	To waive the late filing fees and civil penalties	Roll call vote taken. Motion passed (5 ayes, Member Rosen voted nay).
David A Singleton Elect Secretary of State (17768)	\$25 LFF	Q1 2018	Candidate received no contributions and made no expenditures and thus was never required to register. He hasn't run for state office since 2014 and filed a termination report in January 2019.	Member Flynn	To waive the late filing fee	Roll call vote taken. Motion passed (5 ayes, Member Rosen voted nay).

Flippable - MN Victory Fund (70055)	\$50 LFF	2018 pre-general	Treasurer submitted report via ISP (3rd party software) on due date and was told by ISP that report was submitted successfully. Board staff told ISP the next day that report was rejected by our FTP server because it listed reporting period end date as 10/18/2018 rather than 10/22/2018. Typo was corrected and report was resubmitted.	Member Flynn	To waive the late filing fee	Roll call vote taken. Motion passed (5 ayes, Member Rosen voted nay).
David Schad (DNR)	\$10 LFF	2018 EIS	Official retired 1/8/2019 and contact info on file included his work email and work phone number. Official didn't think he needed to file EIS as he was no longer a state employee and he didn't receive notice of need to file until after due date.	Member Flynn	To waive the late filing fee	Roll call vote taken. Motion passed (5 ayes, Member Rosen voted nay).
Randy Ellingboe (Dept of Health)	\$15 LFF	2018 EIS	Official retired in July 2018 and contact info on file included his work mailing and email addresses. Official didn't receive notice of need to file until after due date.	Member Flynn	To waive the late filing fee	Roll call vote taken. Motion passed (5 ayes, Member Rosen voted nay).
Dwight Swanson (High Island Creek WD)	\$15 LFF	2018 EIS	Official had difficulty completing form online and tried to email his EIS to Board staff. He then was contacted by Board staff and mailed a paper copy of the form, but that form wasn't received back until after deadline.	Member Flynn	To waive the late filing fee	Roll call vote taken. Motion passed (5 ayes, Member Rosen voted nay).
Metro Grassroots Outreach Partnership (41183)	\$125 LFF	May 2018	Treasurer was dealing with wife's serious health issues when report came due.	Member Flynn	To waive the late filing fee	Roll call vote taken. Motion passed (5 ayes, Member Rosen voted nay).

9. 60th Senate District DFL (20473) - \$2,000 LFFs, \$1,600 CPs – 2018 pre-primary and pre-general

Mr. Olson told members that the former treasurer of this party unit incorrectly had told the chair that the reports had been filed. Mr. Olson said that after realizing that the treasurer had failed to file the reports, the party unit elected a new treasurer and the chair filed the reports.

Sonia Neculescu, the party unit chair, then addressed the Board. Ms. Neculescu told members that she believed that the reports had been filed and that when she learned otherwise, she filed them. Ms. Neculescu said that the previous treasurer had not been active for several meetings and had not responded to communications from the party unit's officers. Ms. Neculescu said that the treasurer had

resigned and that Sonja Peterson was the new treasurer. Ms. Peterson then told members that she had been involved with the party unit's governance in the past and that she believed that this was the first time that the party unit's reports had been late. In response to questions from members, Ms. Neculescu and Ms. Peterson said that the party unit had adopted new onboarding procedures for its officers to ensure that reports would be filed on time in the future.

After discussion, the following motion was made:

Member Rosen's motion: To reduce the late filing fees to \$400 and the civil penalties to \$200.

Vote on motion: A roll call vote was taken. All members voted in the affirmative.

<u>Name of Candidate or Committee</u>	<u>Late Fee & Civil Penalty Amount</u>	<u>Reason for Fine</u>	<u>Factors for waiver</u>	<u>Board Member's Motion</u>	<u>Motion</u>	<u>Vote on Motion</u>
(Bob) Carney for Governor (17007)	\$75 LFF	2018 year-end	Candidate/treasurer was surprised to find that there was no grace period after due date and had difficulty figuring out how to email paper report.	Member Swanson	To waive the late filing fee	Roll call vote taken. All members voted in the affirmative.
Tori Hill for House (17526)	\$575 LFF	2018 year-end	Paper no-change statement was completed but treasurer and candidate forgot to fax it to us and they were out of town in January and February. Candidate intends to terminate committee later this year.	Member Flynn	To reduce the late filing fee to \$125	Roll call vote taken. All members voted in the affirmative.
Mark Bartusek for Senate (17895)	\$575 LFF	2018 year-end	Candidate/treasurer states that he did not receive any notice of need to file report until 3/4/19, despite fact that we had correct mailing address and phone number. Our records show that he was called the afternoon of 1/31 to remind him of filing deadline. Report was no-change statement.	No motion		

D. Informational Items

1. Payment of late filing fee for 2017 year-end report of receipts and expenditures

Alberder Gillespie, \$350
 Dan Schoen, \$50

2. Payment of late filing fee for 2018 pre-primary report of receipts and expenditures

Calandra Reverting, \$100

3. Return of public subsidy payment

Kyle Bragg, \$509.31

4. Payment of late filing fee for 2018 pre-general report of receipts and expenditures

7B House District RPM, \$400
Houston County RPM, \$200
Leech Lake PAC, \$800
Luke McCusker, \$50
Sibley County RPM, \$150

5. Payment of late filing fee for 2018 year-end report of receipts and expenditures

Robin Courier, \$125
Alberder Gillespie, \$225
Grassroots Legalize Cannabis Party, \$200
John Jasinski, \$25
Ed Matthews, \$25
Calandra Reverting, \$25
Dan Schoen, \$25

6. Excess carry forward

Shelly Christensen, \$1264.72
Donzel Leggett, \$175.44

7. Payment of civil penalty for a contribution from an unregistered association

JLG Architects Employee PAC, \$225
MN Architects PAC, \$225

8. Payment of civil penalty for a corporate contribution

Legacy Painting, LLC \$90

LEGAL COUNSEL'S REPORT

Mr. Hartshorn presented members with a legal report that is attached to and made a part of these minutes. Mr. Hartshorn told members that there were updates to several matters on the report.

EXECUTIVE DIRECTOR REPORT

Mr. Sigurdson told members that he had just attended the House Subcommittee on Elections where the house omnibus election bill had been discussed. Mr. Sigurdson said that none of the Board's 2019 legislative recommendations were in the bill but that the bill did include some Board proposals from 2015. Mr. Sigurdson said that he had met with several legislators who were not opposed to the Board's 2019 legislative proposals but who were not interested in carrying them this year. Mr. Sigurdson said that the legislature appeared to be busy with budget matters.

OTHER BUSINESS

There was no other business to report.

There being no other business, the meeting was adjourned by the chair.

Respectfully submitted,

Jeff Sigurdson
Executive Director

Attachments:
Executive director's report
Memorandum regarding late fees and civil penalties
Legal report

DRAFT



MINNESOTA

CAMPAIGN FINANCE BOARD

Board Meeting Dates for Calendar Year 2019

Meetings are at **10:30 A.M.** unless otherwise noted.

2019

Wednesday, April 3

Wednesday, April 10
Closed Executive Session Meeting – 9:00 AM

Wednesday, May 1

Wednesday, June 5

Wednesday, July 3

Wednesday, August 7

Wednesday, September 4

Wednesday, October 2

Wednesday, November 6

Wednesday, December 4



MINNESOTA CAMPAIGN FINANCE BOARD

Date: March 6, 2019

To: Board Members

From: Jeff Sigurdson, Executive Director

Telephone: 651-539-1189

Re: Executive Director's Report – 2019 Board Operations

Legislative Session

The Governor released a revised budget request on March 25 in response to a reduction in anticipated state revenues. The Board's budget change request to the base operations budget (an additional \$75,000 per year) and the onetime software development request (\$50,000) are still both included in the Governor's overall budget request for the executive branch.

In the House there is an omnibus elections and campaign finance bill, HF 1603. The bill does not contain the legislative recommendations of the Board. It does however include a change to the definition of express advocacy that is similar to the Board recommendation. The bill includes extensive provisions on electioneering communications, association registration, and increased disclosure on the source of contributions to associations not registered with the Board. The bill also contains language that will eliminate the election related provisions of Chapter 383B, which are specific to Hennepin County. If enacted, approximately 25 political committees and funds that are registered with Hennepin County will need either to terminate or register with the Board. There is no comparable bill in the Senate, and to my knowledge, no policy bills related to elections or campaign finance have been heard in the Senate.

Annual Lobbyist Principal Report

The Annual Lobbyist Principal Reports were due on March 15, 2019. As of March 27th, reports had been received from 1,378 of the 1,398 principals required to file. The reports filed so far disclose that \$62,135,509 was spent in 2018 to influence the official actions of the legislature, administrative agencies, and metropolitan governmental units.

The amount spent to influence administrative action by the Minnesota Public Utilities Commission in cases of rate setting, power plant and power line siting, and granting of certificates of need is reported separately. In 2018, principals reported spending \$15,234,661 to influence administrative actions of the Minnesota Public Utilities Commission. This amount is the highest amount ever reported to the Board for lobbying the Minnesota Public Utilities Commission.

Total lobbying expenditures reported for 2018 amount to \$77,370,170. Below is a table showing the total spending reported on the Annual Principal Reports for the last seven years. A principal is permitted to round the amount reported to the nearest \$20,000.

Year	Amount Spent to Influence Legislative Actions, Administrative Actions, and Actions of Metropolitan Governmental Units	Amount Spent to Influence the Actions of the MN Public Utilities Commission	Total Amount of Spending Reported by Principals
2018	\$62,135,509	\$15,234,661	\$77,370,170
2017	\$64,295,738	\$9,855,230	\$74,150,968
2016	\$62,094,344	\$6,222,560	\$68,316,904
2015	\$63,979,699	\$5,177,019	\$69,156,718
2014	\$64,733,179	\$5,673,292	\$70,406,471
2013	\$69,420,091	\$5,337,767	\$74,757,858
2012	\$59,725,768	\$2,749,590	\$62,475,358



MINNESOTA CAMPAIGN FINANCE BOARD

Date: April 3, 2019

To: Board members
Counsel Hartshorn

From: Andrew Olson, Legal/Management Analyst

Subject: Enforcement report for consideration at the April 3, 2019 Board meeting

A. Waiver Requests

#	Committee/ Entity	Late Fee/ Civil Penalty	Report Due	Factors	Most Recent Balance	Previous Waivers
1	Johnson (Jeff) for Governor (17641)	\$2,000 LFFs	2 24-Hour Notices Due 11/2/2018	Treasurer thought the 24-hour notices were filed via the CFR software and provided screenshots showing that on her end, the software shows that the notices were filed. Our logs indicate that an attempt was made to upload a file to our FTP server.	\$1,707	No
2	Ray Trager (Chippewa SWCD)	\$100 LFF	Original & 2018 EIS	Official was appointed to finish the term of someone who resigned. Board staff were never notified so we didn't know the official was serving until after he lost an election to retain his position and the official was unaware of the need to file an EIS.		No
3	Bly (Breanna) for MN House Campaign Committee (17381)	\$625 LFF	2018 Year-End	Treasurer states he emailed the committee's no-change statement for 2018 to Board staff 1/26/2019. He has provided a copy of the email, but staff do not have a record of the email being received.	\$971	No
4	Walter Hautala (MSHSL)	\$100 LFF	2018 EIS	Official reviewed his EIS information online and saved it, but forgot to click the submit button. The EIS was filed 3/25/2019.		No
5	Paul Barsness (Douglas SWCD)	\$100 LFF	2018 EIS	Official reviewed his EIS information online and saved it, but forgot to click the submit button. The EIS was filed 3/26/2019.		No
6	Anne Buckvold for House (18090)	\$925 LFF	2018 Year-End	Treasurer attempted to file a no-change statement for 2018 via the CFR software 1/30/2019, but instead filed an amended 2017 year-end report. Candidate wasn't aware of the issue until 3/17/2019 and has been dealing with ongoing medical issues and related paperwork concerning her child.	\$136	No

7	Jared LaDuke MN House 4B Committee (17834)	\$625 LFF	2018 Year-End	Candidate thought he had terminated his committee and did not need to file a report. He was busy with a child born prematurely and related complications at the end of January. Candidate has filed a termination report.	\$0	No
8	Clint Carlson (Bassett Creek WMO)	\$100 LFF	2018 EIS	We had an incorrect email address on file for the official so he did not receive email notifications regarding the filing deadline. The EIS was filed 3/26/2019.		No
9	WS-3 (Walter Smith III) for District 25B (18264)	\$675 LFF	2018 Year-End	Candidate did not raise or spend more than \$750 and thus was not required to register. He emailed Board staff 1/30 asking if he was required to file a report, and if so, for a copy of the paper report form. He again emailed Board staff 2/2, but did not receive a response to either email.	\$0	No
10	Lisa Neal- Delgado for State Representative (18340)	\$275 LFF	2018 Year-End	First-time candidate and her treasurer had difficulty using the CFR software. Treasurer met with Board staff to resolve the issue but the report was filed 15 days late.	\$142	No
11	Housley (Karin) For Senate (16964)	\$725 LFF	2018 Year-End	Candidate attempted to file a no-change statement for 2018 via the CFR software 1/31/2019, but instead filed an amended 2017 year-end report. The no-change statement was filed 3/14/2019.	\$22,953	\$1,050 in LFFs for 24-hour notices reduced to \$250 in July 2014
12	Hainey (Shaun) for House (18295)	\$1,000 LFF	24-Hour Notice Due 8/11/2018	First-time candidate/treasurer did not realize he needed to file a 24-hour notice until filing his year-end report. The contribution in question was a \$1,705 in-kind contribution from the candidate for radio advertising. The Board typically reduces 24-hour notice late fees for first-time violations to \$250.	\$0	No
13	28th Senate District DFL (20719)	\$2,000 LFFs \$1,300 CPs	2018 Pre- primary; 2018 Pre- general	New treasurer said there was considerable confusion when he became treasurer. He states he sent a paper 2018 pre-primary report, which Board staff have no record of receiving. The pre-general report was filed 12/28/2018 and the pre-primary report was filed 1/15/2019.	\$295	No
14	Joint Council 32 DRIVE (30013)	\$3,000 LFFs	3 24-Hour Notices Due 10/24/18	Treasurer didn't realize that 3 contributions from union locals ranging from \$1,067 to \$1,945 received 10/23 triggered the 24-hour notice requirement. He stresses that the contributions did not impact the strategy of the political fund.	\$60,134	\$1,000 LFF for 24-hour notice reduced to \$250 in April 2017
15	Dennis Zimbrick (Traverse SWCD)	\$5 LFF	2018 EIS	Official thought he had previously submitted his EIS online. Board staff do not show any activity within the EIS online reporting system from the official in January or February of 2019.		\$100 LFF waived in 2016 as official thought he had filed online but appears to have never clicked the submit button

16	Stan Wendland (Carver SWCD)	\$100 LFF	2018 EIS	Official was traveling for 3 1/2 weeks starting around the filing deadline. The EIS was filed 3/26/2019.		No
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B. Informational Items

1. Payment of late filing fee for 24 Hour Notice Special Election

Nathan Nelson, \$50

2. Payment of late filing fee for EIS

Jackie Anderson, \$100
 Glen Kluis, \$45
 Jane McDonald Black \$50
 Rowzat Shipchandler, \$35

3. Return of Payment for anonymous contribution

Geoff Dittberner, \$57.93

4. Payment of late filing fee for 2018 2nd report of receipts and expenditures

Fight for our Future, \$25

5. Payment of late filing fee for 2018 pre-primary report of receipts and expenditures

Fight for our Future, \$1000

6. Payment of late filing fee for 2018 pre-general report of receipts and expenditures

Fight for our Future PAC, \$100
 Freeborn County DFL, \$50
 Lyle Koenen, \$250

7. Payment of civil penalty for Special Source limit

Karen Dzedzic, \$40

8. Payment of civil penalty for pre-primary report of receipts and expenditures

Fight for our Future, \$100

9. Payment of civil penalty for 2018 year-end report of receipts and expenditures

4th CD IPMN, \$25
 Barbara Goodwin, \$50
 Brian Shekleton, \$775

Faith in Minnesota, \$75
Geoff Dittberner \$300
John Lillis, \$25
Jon Koznick \$25
MN Realtors PAC \$200
Northwest Petroleum PAC \$50
Travis Silvers \$25

March 19, 2019

To: Minnesota Campaign Finance Board

From: Kathy Latham, Campaign Treasurer for Jeff Johnson for Governor

I was notified on Friday March 15 that I was negligent in not reporting a 24-hour notice for two large donations received on 11/1/2018 for \$4000 each and that I was assessed a fine of \$2000 to the Board in not reporting these donations. I emailed Megan Engelhardt on Sunday March 17 with a report I pulled from the software showing that I sent the notices and copied Gary Bauer and Jon Sigurdson on the email. Megan called me on Monday March 18 that they couldn't find the transmittal of these 2 notices on their servers even though the software shows 'Filed' (see attachment).

The donors in question each sent a check dated 11/1/2018 and they were both deposited on 11/2/2018. The date listed on the 24-hour notice report is 11/1/2018. This is the date I transmitted the 24-hour notice. I take my campaign treasurer position very seriously especially when it comes to Campaign Finance reports. I know that I had sent the notices but due to the limitations of the CFB software, there is no additional information that I can provide.

I've been a campaign treasurer since May 2006 starting with Jeff's Attorney General campaign and have been treasurer for his campaigns for Hennepin County Commissioner since he first ran for this office in 2007 - current. I was treasurer for Jeff's 2013-2014 and 2017-2018 Governor Campaigns. I've also been David Hann's treasurer for his Senate campaigns starting in 2008-2016 and for his Governor campaign in 2013. On all these campaigns, except the Hennepin County Commissioners campaigns, I've used the CFB software. As a campaign treasurer, I've only incurred a few fines from the CFB for minimal amounts since 2006. I have had other campaign treasurers call me over the years to ask for my help with software questions which I was more than willing to assist them with.

I'm asking the Board to rescind the fine. Attached are the only reports I can pull from the CFB software which is a screenshot showing that the 'Filed' box was checked for both donations. Thank you for your consideration. Feel free to contact me if you have any questions.

Sincerely,



Kathy Latham
Campaign Treasurer – Johnson for Governor
612-386-7347
lathamkathy913@gmail.com

24 Hour Notice

Name	Date	Amount	Filed
Aamoth, Erik F	10/29/2018	3,800.0	<input checked="" type="checkbox"/>
Carlson, Jennie	10/29/2018	4,000.0	<input checked="" type="checkbox"/>
Zietlow, Donald	10/29/2018	2,500.0	<input checked="" type="checkbox"/>
Schulze, Maureen	10/29/2018	4,000.0	<input checked="" type="checkbox"/>
Schulze, Dick	10/29/2018	4,000.0	<input checked="" type="checkbox"/>
Regan, Patrick	10/29/2018	2,500.0	<input checked="" type="checkbox"/>
Davis, Laurie	10/31/2018	4,000.0	<input checked="" type="checkbox"/>
Davis, M. Mitchell	10/31/2018	4,000.0	<input checked="" type="checkbox"/>
Andrews, Maria	10/31/2018	4,000.0	<input checked="" type="checkbox"/>
Andrews, Charles	10/31/2018	4,000.0	<input checked="" type="checkbox"/>
Giuliani Stephens, Ma	11/01/2018	4,000.0	<input checked="" type="checkbox"/>
Barnes, Christopher	11/01/2018	4,000.0	<input checked="" type="checkbox"/>
Sween, Paul	11/01/2018	4,000.0	<input checked="" type="checkbox"/>
Winthrop & Weinstine	11/03/2018	2,500.0	<input checked="" type="checkbox"/>

Name: Sween, Paul
 Address: 2905 Northwest Blvd, Ste 150
 City: Plymouth
 State: MN Zip Code: 55441
 Employer: Finance
 Date: 11/01/2018
 Amount: 4,000.00
 In Kind:

Print OK Cancel

24 Hour Notice

Name	Date	Amount	Filed
Aamoth, Erik F	10/29/2018	3,800.0	<input checked="" type="checkbox"/>
Carlson, Jennie	10/29/2018	4,000.0	<input checked="" type="checkbox"/>
Zietlow, Donald	10/29/2018	2,500.0	<input checked="" type="checkbox"/>
Schulze, Maureen	10/29/2018	4,000.0	<input checked="" type="checkbox"/>
Schulze, Dick	10/29/2018	4,000.0	<input checked="" type="checkbox"/>
Regan, Patrick	10/29/2018	2,500.0	<input checked="" type="checkbox"/>
Davis, Laurie	10/31/2018	4,000.0	<input checked="" type="checkbox"/>
Davis, M. Mitchell	10/31/2018	4,000.0	<input checked="" type="checkbox"/>
Andrews, Maria	10/31/2018	4,000.0	<input checked="" type="checkbox"/>
Andrews, Charles	10/31/2018	4,000.0	<input checked="" type="checkbox"/>
Giuliani Stephens, Ma	11/01/2018	4,000.0	<input checked="" type="checkbox"/>
Barnes, Christopher	11/01/2018	4,000.0	<input checked="" type="checkbox"/>
Sween, Paul	11/01/2018	4,000.0	<input checked="" type="checkbox"/>
Winthrop & Weinstine	11/03/2018	2,500.0	<input checked="" type="checkbox"/>

Name: Barnes, Christopher
Address: 2346 Linner Road
City: Minnetonka
State: MN Zip Code: 55391
Employer: business
Date: 11/01/2018
Amount: 4,000.00
In Kind:

Print OK Cancel



MINNESOTA

CAMPAIGN FINANCE BOARD

March 12, 2019

Kathy Latham
Johnson (Jeff) for Governor
16436 Eagle Ridge Dr
Minnetonka, MN 55345

RE: 24-hour Notice
Reg. No.: 17641

Dear Kathy Latham,

Minnesota Statutes section 10A.20, subdivision 5 requires that any loan, contribution, or contributions of more than \$2,000 from a single source to a candidate for constitutional office received October 23, 2018 through November 05, 2018 must be reported to the Board within 24 hours of receipt or in person the next business day.

If a report of large pre-election contribution or loan is not filed within 24 hours of receipt, a \$50 per day late filing fee begins to accrue to a maximum of \$1,000. A 24-hour notice for a \$4,000 contribution made on November 1, 2018, from Christopher Barnes to your committee was not received until January 22, 2019, when you filed the 2018 year-end report. Because notification was not filed within 24 hours of receipt, a \$1,000 late filing fee has accrued for the contribution. A 24-hour notice for a \$4,000 contribution made on November 1, 2018, from Paul Seen to your committee was not received until January 22, 2019, when you filed the 2018 year-end report. Because notification was not filed within 24 hours of receipt, a \$1,000 late filing fee has accrued for the contribution.

Please mail or deliver a check for \$2,000 payable to the State of Minnesota to the Board at the address listed below. Under Minnesota Statute, all fees are deposited in the general fund of the state.

The Board may reduce or waive late filing fees upon written request for good cause. A waiver request should explain in detail the reasons why the Board should waive or reduce the late filings fees. You may mail or e-mail the request to our office using the contact information in this letter. The waiver request will be public information, and will be reviewed by the Board at the next scheduled meeting after the request is received.

If you have any questions about this letter or the assessment of late filing fees please contact me.

Sincerely,

Megan Engelhardt
Assistant Executive Director
651-539-1182 / megan.engelhardt@state.mn.us

Ray Trager (Chippewa SWCD)

Minnesota Campaign Finance Board
Suite 190
Centennial Office Building
658 Cedar Street
St. Paul, MN 55155

March 23, 2019

To Whom It May Concern:

I was appointed to the position of Supervisor on the Chippewa SWCD WC/Area2 board due to Larry Kittelson stepping down midway through his term. I served until the election in November 2018 when I was not voted in to continue. I was unaware that I was required to file papers with the Campaign Finance and Public Disclosure Board for the annual statement of economic interest for public officials. I have enclosed my statement and ask that the fee be waived. I will also send a copy via mail and e-mail.

Thank you,



Ray A. Trager

Bly (Breanna) for MN House Campaign Committee (17381)

From: Breanna Bly <bly.breanna@gmail.com>

Sent: Monday, March 25, 2019 7:39 AM

To: Jeff Kennedy <jdkennedy21@outlook.com>; Jeff Kennedy <jeff.kennedy_21@yahoo.com>; Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us>

Cc: Carla Nelson <carlanelson@carlanelson.org>; Dave Senjem <Dsenjem@aol.com>

Subject: Fwd: Bly 2018 Report - 17381

Dear Megan,

I apologize for the tardiness of this email. Here is the 1/26 email with the reports included as attachments that you requested me to forward to you.

As you can tell from addresses that you have on file Jeff now lives in Lake City and I am still in Rochester. We rarely see each other and it is because of our continued friendship that he still files my reports.

For the last 8 years my husband and I have operated a local chapter of the national non profit program: A Better Chance. We have had 11 young men from inner city schools and in most cases, poverty, live with us for their high school experience. This past summer we graduated our last scholar and have help our board walk through the process of closing the Rochester chapter and setting up a scholarship fund at John Marshall High School to be awarded to young men and young women who fit the criteria of the Rochester A Better Chance scholar.

We are seeking a waiver from the Board so that we can donate the remaining funds from my campaign to a local program called Project Legacy.

Project Legacy is a program for minority youth ages 12-24 in Rochester who are homeless, refugees, in poverty, have experienced trauma or who are trying to escape gangs or violence of some sort. I feel they could put the remaining balance of my campaign fund, which I believe is \$971.19, to good use whether it is to help with a student's tuition, purchase lap tops for college bound students, provide meals for the students they house...the list goes on. Having run the day to day operations for a not for profit organization that helps the most needy youth in our community and across the nation, I know first hand how this sum of money will help lives.

Thank you for your attention with this matter. If there is any thing else you need please call me at 507-271-5118.

Sincerely,
Breanna Bly

----- Forwarded message -----
From: **Jeff Kennedy** <jeff.kennedy_21@yahoo.com>
Date: Sat, Jan 26, 2019 at 3:27 PM
Subject: Bly 2018 Report - 17381
To: <cfb.reports@state.mn.us>, <cf.board@state.mn.us>
CC: <bly.breanna@gmail.com>

2018 Report attached.

Thanks,
Jeff Kennedy
Treasury

Walter Hautala (MSHSL)

From: Walter Hautala <hautalawalter@yahoo.com>
Sent: Monday, March 25, 2019 1:51 PM
To: Lochner, Kevin (CFB) <kevin.lochner@state.mn.us>
Cc: CFBE-mail <cfb.reports@state.mn.us>
Subject: Reduction or waive late filling fees

Kevin Lochner
Management Analyst

Mr. Lochner

I am asking the Board to reduce or preferably waive the late filing fee. After receiving the March 18, 2019 letter I reviewed my annual statement of economic interest. I discovered I had saved it but failed to send it. I immediately contacted Mr. Lochner and asked him for clarification on several questions. After answering my questions he assisted me with sending the report.

I apologize for not sending my report in on a timely manner.

Walter Hautala

March 25, 2019

Minnesota Campaign Finance Board
Suite 190
Centennial Office Building
658 Cedar Street
St. Paul, MN 55155-1603

Dear Mr. Lochner & Members of the MN Campaign Finance Board;

I received your first class letter on March 21, 2019 indicating that you had not received my annual statement of economic interest for 2018. I am writing to ask for the late fee to be waived.

Prior to the January 28th deadline I attempted several times to access the website to resubmit my annual statement of economic interest. Each time I was denied entry to the site. I attempted this over a several days. As I was continually unable to gain access, in early February I called and spoke to you, Mr. Lochner. With your assistance I was able to gain access to the site at which time I completed the online registration. A confirmation appeared on the site letting me know that my submission was complete.

Your letter dated March 18, 2019 came as a surprise to me as I was under the impression that my annual statement of economic interest was completed and had been accepted. I am providing a scanned copy of my Annual Statement of Economic Interest for Public Officials along with this letter.

Please let me know if there is anything further I need to provide to you. Thank you for your consideration.

Sincerely,



Paul Barsness
SWCD Supervisor

Anne Buckvold for House (18090)

Tuesday, March 26, 2019

Minnesota State Campaign Finance and Public Disclosure Board

190 Centennial Building

658 Cedar Street

St. Paul, MN 55155-1603

Dear members of the Campaign Finance and Public Disclosure Board,

I am writing to request that the board accept my late submission of my 2018 campaign finance report on Tuesday, March 26, 2019 and forgive me of the fines I have accrued since January 28th of 2019 totaling \$925.

My campaign treasurer unintentionally filed my report (of no changes) incorrectly on January 30, 2018. She received an email confirming that the report she did file (which mistakenly was an amendment to our 2017 report) had been received. She shared with me at the time that the report had been filed and an email confirmation was received. In good faith, we believed all of my campaign finances and reports were correct and complete until Sunday, March 17th 2019. On that day I was going through a number of what I believed only to be medical bills when I came across a letter sent by the campaign finance board, dated February 28th, 2019.

I have chosen to keep the account open despite that I did not run for a state legislative seat in 2018. Instead, I was appointed to a city council seat the spring of 2018 and successfully ran and was elected councilor of the city of St. Joseph this last fall. I still have an intention to run for a state legislative office in the future.

There has been one personal complication that I feel is worth mentioning. I have a young daughter who received multiple neurological diagnoses from Children's Hospital over this winter. This development has caused a number of emergency and planned/follow up visits over the past few months, most recently a visit to the Children's Hospital ER this past weekend 3/22-23. The amount of paperwork along with bills we have received has been significant. The letter that I received dated February 28th, 2019 from the campaign finance board alerting me of the mistake in reporting was overlooked until last weekend. This issue has delayed my response to the Feb 28th letter and delayed my correction of the report.

While I take full responsibility for my campaign finances and reporting and accept any decision the board decides to make, I ask that the board consider these circumstances, to please accept our late submission of our 2018 campaign finance report of no-change, and relieve us of the fines accrued during this time.

If there are any questions or concerns regarding my request please contact me however is most convenient. I've included my contact information below.

Best regards.

Anne Buckvold

810 E Minnesota Street

St. Joseph, MN 56374

annebuckvold@gmail.com

320.339.1941

From: Jared LaDuke <jbl8708@gmail.com>
Sent: Friday, March 08, 2019 3:33 PM
To: Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us>
Subject: 2018 year end report and termination.

Megan, attached is the 2018 year end report. I apologize again for it being delayed. I genuinely thought this was all taken care of last year.

To whom it may concern,

I understand that the fines for a late year end report are currently about \$600.00. I apologize for this inconvenience. Early in 2018 I donated all of my campaign funds to another house candidate and I thought that I had completed all the necessary paperwork to terminate my committee. Somebody did call me early this year and talked to me about the report being due and I communicated this information to them and if I recall correctly they said they would look into it. I have been very busy this year with a premature child being born the end of January and complications leading into that time. It is possible that I do not remember that conversation accurately.

If it is at all possible I ask that the fines may be waived. I have zero dollars in the committee as I thought it was all terminated anyway and my personal finances being a small business person and with the winter that we have had are very much less than ideal and I am currently struggling to make ends meet.

I would be more than happy to discuss these matters and anything else that I can do to help this situation over the phone. Again I apologize for the inconvenience.

-Jared LaDuke
701-412-5315

Clint Carlson (Bassett Creek WMO)

From: CLINT CARLSON QUANTUM DEV <cacarlson@comcast.net>

Sent: Tuesday, March 26, 2019 11:53 AM

To: Lochner, Kevin (CFB) <kevin.lochner@state.mn.us>

Subject: Request waiver of late fees

To: Kevin Lochner

As we discussed, you said that you had an incorrect e-mail address listed for my address. Also that I have previously reported on line the last couple of year. I got no e-mails or e-mail notification through your organization for 2018 filing. You said you have now corrected my address for next year's reporting.

A point of additional confusion is that through our administrator for our watershed, we did separate additional reporting as required by our Bassett Creek Watershed Management Commission. So we need to do reporting to both your organization and our own.

Therefore I am requesting that the board abate any late filing penalties.

Thankyou for assisting with this problem and your assistance with the 2018 filing.

Clint Carlson
763-242-6645

SENT VIA EMAIL

Minnesota Campaign Finance Board
% Megan Engelhardt, Asst Executive Director
658 Cedar Street, Ste 190
Saint Paul, MN 55165-1603
megan.Engelheart@state.mn.us (Email)

March 22, 2019

Re: Fee Waiver for Late Report of Receipts and Expenditures (Reg. No.: 18264)

Committee Information

“WS-3 For District 25B” (Reg. No.: 18264)
% Carlies Y. Smith (Treasurer) for Candidate Walter L. Smith III
2678 Georgetowne Drive NW
Rochester, MN 55901

Dear Megan: Thank you for all the helpful information and support that you’ve provided the past few weeks, reference to the correspondence that I’ve received from CFB concerning the Report of Receipts and Expenditures that was due on January 31, 2019, for my state representative “run” in District 25B.

First, I’d like to apologize to the CFB for the untimely submission of my report. It was my understanding after further investigation in the 2018 Campaign Manual, that the original formation of the committee for my candidacy, was not necessary until I met a certain fundraising threshold (\$750).

- I formed the committee out of my initial concern for having “all my ducks in a row”, because of the obvious learning curve and lack of experience I bring to the table as a first-time political candidate.
- From the time of my initial “announcement of candidacy” in the first week of October 2017, until the Olmsted County Republication Convention on March 3, 2018, for the candidates in District 25B, all of the funds that were spent by the committee that we formed (“WS-3 For District 25B”) were personal funds spent on signage, post cards and local promotion. On both the email auto-responder and the website (www.WS-3ForDistrict25B.com), I provided the following announcement:

****NOTICE OF WS-3 for DISTRICT 25B CAMPAIGN ENDED****

On Saturday, March 3rd, it was decided after five intense votes by the attending delegates of District 25B, that Kenneth L. Bush would receive the endorsement from the Republican Party for the Minnesota House of Representatives District 25B.

I offer him my best wishes and prayers, with the hopes that his candidacy and campaign will cause not only the seat to be regained in the Minnesota Legislature, but also that the precious

citizens of Rochester and the Cascade Township will be well represented in the future — if he wins against the incumbent, Representative Duane Sauke.

“Thank you” to all the delegates and alternates in District 25B, who’ve shown support, sent kind messages, and that voted for me on Saturday. I’m honored to make your acquaintance, and look forward to continued involvement in the party in my role as Precinct Chair for Ward 3 Precinct 4, and as a seated delegate for District 25B of the Olmsted County GOP. > END OF STATEMENT.

- Because the formation of the committee was not a necessity, and I didn’t raise money from anyone else, the correspondence (email) I sent to Melissa Stevens on January 30th and February 2nd concerning the report’s pending due date, were meant to confirm whether the report was even still required.
- After receiving no response to either communication sent to Ms Stevens, I assumed that filing the report wasn’t necessary, and that the record would reflect my correspondence and be duly noted.

****I’d like to request that the CFB “waive the \$675 late fee” for my failure to submit the report of receipts and expenditures, based upon the following reasons outlined above; and, it would also be a financial hardship to my family at this time.**

We appreciate every consideration in advance!

Best Regards,

Walter

Walter L. Smith III
4204 Garden Ct SE
Rochester, MN 55904
424-333-4047

Professional Roles:

CEO of **WS-3 Enterprises & Associates, LLC.**

www.WS-3.com

Executive Director of **Everybody In**

www.EverybodyInMN.org

Executive Director of **ReConnect Rondo, Inc.**

www.ReConnectRondo.org

Begin forwarded message:

From: Walter L Smith III <admin@ws3fordistrict25b.com>
Date: February 2, 2019 at 11:17:13 AM CST
To: "Stevens, Melissa (CFB)" <melissa.stevens@state.mn.us>
Subject: Re: REMINDER Report DUE tomorrow January 31st

Melissa: Can you please verify receipt of my previous correspondence.

Is there something else I need to do?

Never raised any funds above \$750, beyond the dollars I personally spent.

Best Regards,

Walter

424-333-4047

****NOTICE OF WS-3 for DISTRICT 25B CAMPAIGN ENDED****

On Saturday, March 3rd, it was decided after five intense votes by the attending delegates of District 25B, that Kenneth L. Bush would receive the endorsement from the Republican Party for the Minnesota House of Representatives District 25B.

I offer him my best wishes and prayers, with the hopes that his candidacy and campaign will cause not only the seat to be regained in the Minnesota Legislature, but also that the precious citizens of Rochester and the Cascade Township will be well represented in the future — if he wins against the incumbent, Representative Duane Sauke.

“Thank you” to all the delegates and alternates in District 25B, who’ve shown support, sent kind messages, and that voted for me on Saturday. I’m honored to make your acquaintance, and look forward to continued involvement in the party in my role as Precinct Chair for Ward 3 Precinct 4, and as a seated delegate for District 25B of the Olmsted County GOP.

Blessings!

Walter L. Smith III (WS-3)

****Leadership Greater Rochester, Class of 2018!**

****Gallup Strengths:** *Positivity, Learner, Connectedness, Responsibility, & Activator*

****Member, Rochester Chamber of Commerce (2014)**

Professional Roles:

CEO of **WS-3 Enterprises & Associates, LLC.**

www.WS-3.com

Executive Director of **Everybody In**

www.EverybodyInMN.org

Executive Director of **ReConnect Rondo, Inc.**

www.ReConnectRondo.org

On Jan 30, 2019, at 3:24 PM, Walter L Smith III <admin@ws3fordistrict25b.com> wrote:

Melissa: My son and I will take care of this by tomorrow.

I abandoned the campaign on March 3rd after not receiving the party's endorsement through multiple votes, etc.

I never raised more than \$750 (filed the campaign prematurely) but I spent about that much out of pocket for the website, materials, etc.

Please send the form (in PDF) so I can make sure it's in by tomorrow.

Walter

424-333-4047

****NOTICE OF WS-3 for DISTRICT 25B CAMPAIGN ENDED****

On Saturday, March 3rd, it was decided after five intense votes by the attending delegates of District 25B, that Kenneth L. Bush would receive the endorsement from the Republican Party for the Minnesota House of Representatives District 25B.

I offer him my best wishes and prayers, with the hopes that his candidacy and campaign will cause not only the seat to be regained in the Minnesota Legislature, but also that

the precious citizens of Rochester and the Cascade Township will be well represented in the future — if he wins against the incumbent, Representative Duane Sauke.

“Thank you” to all the delegates and alternates in District 25B, who’ve shown support, sent kind messages, and that voted for me on Saturday. I’m honored to make your acquaintance, and look forward to continued involvement in the party in my role as Precinct Chair for Ward 3 Precinct 4, and as a seated delegate for District 25B of the Olmsted County GOP.

Blessings!

Walter L. Smith III (WS-3)

****Leadership Greater Rochester, Class of 2018!**

****Gallup Strengths:** *Positivity, Learner, Connectedness, Responsibility, & Activator*

Professional Roles:

CEO of WS-3 Enterprises & Associates, LLC.

www.WS-3.com

Executive Director of Everybody In

www.EverybodyInMN.org

Executive Director of ReConnect Rondo, Inc.

www.ReConnectRondo.org

On Jan 30, 2019, at 3:17 PM, Stevens, Melissa (CFB) <melissa.stevens@state.mn.us> wrote:

To: WS-3 (Walter Smith III) for District 25B

Re: 2018 Year-End Report of Receipts and Expenditures Reminder

Report Due – January 31, 2019

As of the date of this e-mail the Campaign Finance Board has not received your 2018 year-end report of receipts and expenditures, which is due tomorrow, January 31, 2019, covering the period January 1 to December 31, 2018. A late filing fee for this report will begin on February 1, 2019 at the rate of \$25 per day to a maximum of \$1,000. If you believe your committee has already filed the report please contact me at the number below.

The Board has also developed a series of short online videos that demonstrate how to do various tasks with CFR. The videos are available at: <https://cfb.mn.gov/filer-resources/self-help/education-and-tools/online-videos/>

Melissa Stevens

Campaign Finance and Public Disclosure Board

651-539-1188

Lisa Neal-Delgado for State Representative (18340)

From: lneal12@aol.com <lneal12@aol.com>

Sent: Wednesday, March 06, 2019 1:20 AM

To: Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us>

Cc: raevonn.hill@comcast.net

Subject: Request for Waiver

I am requesting a waiver of late filing fees. My Treasurer and I ran into some difficulties while trying to get the report in for January 31st, 2019. My treasure actually ended up meeting with staff at the Minnesota Campaign Board to help her access the system to get the year end report filed.

My treasure and I are both new to this process and I sincerely apologize for the delay. Should you have any questions, I can be reached at lneal12@aol.com or by phone at 651-216-6063.

Lisa Neal-Delgado
for State Representative
1210 James Ave N.
Minneapolis, MN 55411

SENATOR KARIN HOUSLEY
DISTRICT 39
REG. No. 16964

Minnesota Campaign Finance Board
Suite 190 Centennial Office Building
658 Cedar Street
St. Paul, MN 55155

RE: REPORT OF RECEIPTS AND EXPENDITURES DUE ON JANUARY 31, 2019

Dear Campaign Finance Board –

I apologize for filing my 2018 Receipts and Expenditures late. I am hoping that you will consider waiving my late filing fees as I thought I did file the report on January 31, 2019, the due date. I knew my 2018 report would be easy to file because I had no activity in my state senate account for 2018 as I was running for the U.S. Senate. I hopped online that evening (Jan 31, 2019), and filed it.... clicked the “No Change In Report from Previous Report,” and sent the report off to the CFB.

I got the confirmation email (attached) and was happy to get it off the day’s checklist.

I didn’t even see that the report I was filing for the 2017 year and not 2018.

I am so sorry for my mistake and I hope you can understand. I have since filed it and again, Clicked the “No Change in Report from Previous Report.”

Again, I apologize for filing late. I hope you can understand. Let me know if you have any questions.

Thank you –

Karin
651-260-8905

Campaign Finance Filing Inbox x



gary.bauer@state.mn.us

to me ▾

Thu, Jan 31, 8:57 PM



This message confirms receipt by the Campaign Finance & Public Disclosure Board of your campaign finance report described below. This message only confirms that the report was received. The Board has not yet reviewed the report for compliance with campaign finance laws. Thank you for filing electronically.

Registration Number: 16964
Committee Name: Housley For Senate
Report Year: 17
Report Type: Year-End Report
File Size: 11984896
File Received: Thursday, Jan 31 2019 06:26:24 PM

Please Note:

When a board report is filed using the Campaign Finance Reporter software, it may take up to 24 hours for the Board's systems to process the report. Upon successfully receiving a board report an email will be sent to the email address listed as the committee email address as an e-filing confirmation. One day after receiving this conformation, users should perform a download of information from the Board, which will update the indicator that the report was sent/received.

While using the software, a download may be performed at any time by performing the following:

- On the top menu bar select Tools > File Transfer
- Check the Download Committee Information circle in the Download File Selection, check the All Files circle
- Click the Begin Transfer button

Attn: Megan Engelhardt
Minnesota Campaign Finance Board
658 Cedar Street, Suite 190
St. Paul, MN 55155

2019-03-21

Dear Campaign Finance Board,

First and foremost, my sincerest apologies; it was not at any point my knowing intension to violate any of the rules to ensure fair and transparent financing of elections in Minnesota.

I was unaware until submitting my final report that I had a 24 hour reporting requirement for donations from the candidate or of the total amount of my personal expenses that would not be reimbursed which became necessary to report as a donation from the candidate.

It was a priority for me to ensure that my vendors were all paid. I had heard stories of candidates who failed to pay their accounts after losing an election and knew that was not how I was going to conduct my campaign.

I paid for campaign expenses with personal finances as the campaign progressed, intending to seek a reimbursement from the campaign as funds were available. It was not until the final filing that I was aware of the total amount of what would become a contribution from the candidate as un-reimbursed expenses.

I have the utmost respect for the laws designed to maintain fair and equitable elections and prevent the undue influence of big money (although there is still certainly progress to be made regarding the transparency of PACs and the use of finances from outside our state).

I hope that if you look to the spirit of the law that you will see that I did not use personal finances to exert undue influence on the election - the election was at its end and I was seeking to make good on my commitments.

As a first time candidate, there is a lot that I learned this election cycle. I am now aware of the reporting requirement for personal contributions and will exercise due diligence to ensure that this and all other reporting requirements are met.

I ask that you waive the fine that has been assessed. I hope that if you consider the intent, nature, and impact of my infraction, you will find it a reasonable request to waive the fine.

Thank you for your continued service to our state and your work to ensure fair and equitable elections.

Please feel free to contact me for additional information or with any questions.

Sincerely,



Shaun Hainey
Shaun@pikefamilyfarm.org
218-410-6100

Engelhardt, Megan (CFB)

From: Jon Pieper <jpieper@acegroup.cc>
Sent: Tuesday, January 15, 2019 10:49 AM
To: Engelhardt, Megan (CFB)
Subject: Reg No 20719 Fines

Please consider removal of fines for 28th Senate District DFL,
Reg. No.20719.

There has been considerable confusion in the transfer of the
treasurer position. I believe all reports have been filed.

Please contact me if you need further information.

Sincerely,
Jon Pieper
507.438.4635

From: [Jon Pieper](#)
To: [Olson, Andrew \(CFB\)](#)
Subject: Re[2]: Reg No 20719 Fines
Date: Friday, February 08, 2019 2:18:54 PM

This is where the confusion arises. I sent a hand written pre-primary report to the the board. This report has the details. I was later told this report was never received. The board told me to create report with the online software. The second report has the incorrect balance. The end of the year report should be correct with \$310.66 balance. I am creating the reports from a checkbook that was given to me in August.

I am writing down the debits and credit below;

1/1/18 Balance 601.26

1/9/18 25.00 Rushford School District - Rent deposit

3/27/18 100.00 Winona Postmaster - stamps

3/29/18 26.84 Theis Printing - postcards

4/3/18 135.00 Rushford School District - rent final payment

4/5/18 26.84 Theis Printing - print rules of order

4/6/18 18.67 Wlamart - bottled water

4/6/18 240.00 Bread and Bagel - cookies

4/10/18 100.00 Deposit - Jack Krage 22056 Pinecrest Road Winona, Mn 55987 - retired

4/18/18 181.75 cash deposit - cookie donations

12/13/18 balance 310.66

What would you like me to do next?

Sincerely,

Jon



JOINT COUNCIL 32 DRIVE

DEMOCRAT REPUBLICAN INDEPENDENT VOTER EDUCATION

Sami Gabriel
President

Edward Reynoso
Political Director



March 14, 2019

Megan Engelhardt
Assistant Executive Director
Minnesota Campaign Finance and Public Disclosure Board
190 Centennial Office Building
658 Cedar Street
St. Paul, MN 55155-1603

Ms. Engelhardt:

This is in response to your letter dated February 27, 2019 regarding 24-hour Notice – Reg. No. 30013 and our response for the Board to reduce or waive late filing fees upon written request for good cause.

Teamsters Joint Council 32 DRIVE (DRIVE) is officially requesting for the late filing fee of \$3,000 be reduced or waived entirely.

The Local Unions affiliated with Teamsters Joint Council 32 remit checks monthly to DRIVE based on their monthly DRIVE membership totals at an agreed upon rate per member. The totals are entered every month during the year and are standard deposits. The timing of the deposits can vary greatly based on when the Local Unions issue their checks.

Unfortunately, the three deposits in excess of \$1,000 were made on October 23, the first day within the period where any deposits in excess of \$1,000 need to be reported within 24 hours. Had we received and deposited them the day before, this whole situation would not have arisen. We want to stress that we did not intentionally avoid filing the required reports. The deposits had no impact on our organization's strategy on who to contribute to or how much to contribute.

Going forward, we now know that even these monthly per capita DRIVE deposits are subject to the \$1,000 limit during that timeframe.

We apologize for the unintentional oversight. Any leniency or understanding would be greatly appreciated.

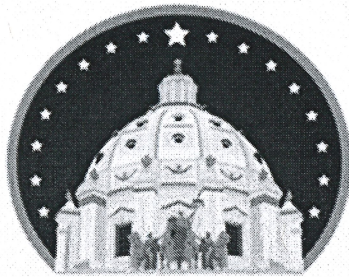
Thank you for your consideration regarding this appeal. We are happy to answer any questions you may have.

Sincerely,

Tom Bucher
Treasurer

TB:ljh

RECEIVED
MARCH 14 2019 10:05
CAMPAIGN FINANCE &
PUBLIC DISCLOSURE BOARD



MINNESOTA

CAMPAIGN FINANCE BOARD

February 27, 2019

Dennis Zimbrick
5519 Cty Rd 3
Beardsley, MN 56211

RE: Economic Interest Statement due on 01/28/2019
Reg. No.: 8578

Dear Dennis Zimbrick,

Your Economic Interest Statement, due on 01/28/2019, was filed with the Campaign Finance and Public Disclosure Board on February 12, 2019. There is a 10 day grace period before late filing fees for an Economic Interest Statement begin to accrue. Because your report was not filed on time, a late filing fee of \$5 has accrued.

Please mail or deliver a check for \$5 payable to the State of Minnesota to the Board at the address listed below. Under Minnesota Statute, all fees are deposited in the general fund of the state.

The Board may reduce or waive late filing fees upon written request for good cause. A waiver request should explain in detail the reasons why the Board should waive or reduce the late filings fees. You may mail or e-mail the request to our office using the contact information in this letter. The waiver request will be public information, and will be reviewed by the Board at the next scheduled meeting after the request is received.

If you have any questions about this letter or the assessment of late filing fees please contact me.

Sincerely,

A handwritten signature in blue ink that reads "Megan Engelhardt". The signature is written in a cursive, flowing style.

Megan Engelhardt
Assistant Executive Director
651-539-1182 / megan.engelhardt@state.mn.us

Did it on line earlier. Thought it was done.

Handwritten initials "DZ" in blue ink, located at the bottom right of the page.

Stan Wendland (Carver SWCD)

From: Stan Wendland <spwendland@gmail.com>
Sent: Tuesday, March 26, 2019 11:44 AM
To: Lochner, Kevin (CFB) <kevin.lochner@state.mn.us>
Subject: Late Filing Penalty Waiver Request

Dear Kevin,

Thank you for your assistance this AM in completing my "Annual Statement of Economic Interest for Public Officials" on line.

With additional thought I have decided to request a waiver of the late filing fees.

My late file was the result of a normally busy life which involves watching grandchildren 4 days a week compounded by a 3 1/2 week trip beginning the end January to visit family in Denver, Phoenix, California and Montana. Our family has spread around the country and now being retired we have a chance to see these people.

I apologize for the inconvenience to your staff and appreciated their help in completing the filing.

Any waiver or reduction of the penalty would be greatly appreciated.

Sincerely Yours,

Stan Wendland
952-210-9098

CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD
April 2019

ACTIVE FILES

Candidate/Treasurer/ Lobbyist	Committee/Agency	Report Missing/ Violation	Late Fee/ Civil Penalty	Referred to AGO	Date S&C Served by Mail	Default Hearing Date	Date Judgment Entered	Case Status
Jamal Abdulahi	Resilient PAC	2018 pre-primary; 2018 pre-general; Amended 2018 report	\$1,000 LF \$1,000 CP \$1,000 LF \$1,000 CP Accruing LF Accruing CP	2/19/19	3/27/19			
Chilah Brown Michele Berger	Brown (Chilah) for Senate	Unfiled 2016 Year- End Report of Receipts and Expenditures Unpaid late filing fee on 10/31/16 Pre- General Election Report	\$1,000 LF \$1,000 CP \$50 LF	3/6/18	8/10/18			Personal Service Requested 3/26/19
Brenden Ellingboe	Ellingboe (Brenden) for House	Unfiled 2015 Year- End Report of Receipts and Expenditures	\$1,000 LF \$1,000 CP	11/29/16	5/26/17			Placed on hold by Board
Richard Hamer		2017 Annual Statement of Economic Interest	\$100 LF \$1,000 CP	1/28/19	3/27/19			

Candidate/Treasurer/ Lobbyist	Committee/Agency	Report Missing/ Violation	Late Fee/ Civil Penalty	Referred to AGO	Date S&C Served by Mail	Default Hearing Date	Date Judgment Entered	Case Status
Katy Humphrey, Kelli Latuska	Duluth DFL	Unfiled 2016 Year- End Report of Receipts and Expenditures	\$1,000 LF \$1,000 CP	3/6/18	8/10/18			Board is working on the matter. Placed on hold. 3/5/19
Bryan Klabunde	Klabunde for MN House	Unfiled 2017 Year- End Report of Receipts and Expenditures	\$1,000 LF \$1,000 CP	9/4/18	11/2/18			Personal Service Requested 3/26/19
Christopher John Meyer	Meyer for Minnesota	2016 Year-End Report of Receipts and Expenditures	\$1,000 LF \$1,000 CP	7/28/17	9/6/17			
Dan Schoen		2017 Annual Statement of Economic Interest	\$100 LF \$1,000 CP	1/28/19	3/27/19			
Kaying Thao	Friends of Kaying	2017 Year-End Report of Receipts and Expenditures	\$1,000 LF \$1,000 CP	7/10/18	8/10/18			Personal Service Requested 3/27/19

CLOSED FILES

Candidate/Treasurer/ Lobbyist	Committee/Agency	Report Missing/ Violation	Late Fee/ Civil Penalty	Referred to AGO	Date S&C Served by Mail	Default Hearing Date	Date Judgment Entered	Case Status
Sean White	Committee to Elect Sean White	2017 Year-End Report of Receipts and Expenditures	\$1,000 LF \$1,000 CP	7/10/18	8/10/18			Reports were filed. Closed